

# Interlocked Open Linkages with Few Joints

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## Abstract

We advance the study of collections of open linkages in 3-space that may be *interlocked* in the sense that the linkages cannot be separated without one bar crossing through another. We consider chains of bars connected with rigid joints, revolute joints, or universal joints and explore the smallest number of chains and bars needed to achieve interlock. Whereas previous work used topological invariants that applied to single or to closed chains, this work relies on geometric invariants and concentrates on open chains.

## 1 Introduction

Consider a simple polygonal chain that is embedded in 3-space with disjoint, straight-line edges, which we think of as fixed-length *bars*. We call a chain with  $k$  bars a  $k$ -*chain*. The  $k + 1$  vertices of a  $k$ -chain are the two end points, adjacent to the end bars, and  $k - 1$  internal vertices, or *joints*. We can place restrictions that each joint be *rigid*, permitting no relative motion between its two incident bars, or be *revolute*, preserving the angle between its two incident bars, or be *flexible*, serving as a universal joint that allows any rotation.

A *motion* of a chain is a motion of the vertices that preserves the length of the bars, respects the restrictions on joints, and never causes nonadjacent bars to touch. We say that a collection of disjoint, simple chains can be *separated* if, for any distance  $d$ , there is a motion whose result is that every pair of points on different chains has distance at least  $d$ . If a collection cannot be separated, we say that its chains are *interlocked*.

In this paper, we characterize collections of open chains with small numbers of bars that can interlock. Our results on pairs of chains are summarized in Table 1. Note that a claim that an open  $k$ -chain can interlock with an  $m$ -chain also holds for any open or closed  $l$ -chain with  $l > k$ , and a claim that an open  $k$ -chain cannot interlock with an  $m$  chain also holds for any open  $l$ -chain with  $l < k$ .

In addition, we show that

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		2-chain		3-chain			4-chain			5-chain
		flexible	rigid	flexible	revolute	rigid	flexible	revolute	rigid	rigid
2-chain	flexible	–	–	–	–	– <sup>5</sup>	– <sup>2</sup>	– <sup>5</sup>	– <sup>5</sup>	+ <sup>15</sup>
	rigid	–	–	–	– <sup>4</sup>	+ <sup>12</sup>	+ <sup>14</sup>	+	+	+
3-chain	flexible	–	–	– <sup>1</sup>	– <sup>6</sup>	+ <sup>18</sup>	+ <sup>11</sup>	+	+	+
	revolute	–	– <sup>4</sup>	– <sup>6</sup>	+ <sup>21</sup>	+	+	+	+	+
	rigid	– <sup>5</sup>	+ <sup>12</sup>	+ <sup>18</sup>	+	+	+	+	+	+
4-chain	flexible	– <sup>2</sup>	+ <sup>14</sup>	+ <sup>11</sup>	+	+	+	+	+	+
	revolute	– <sup>5</sup>	+	+	+	+	+	+	+	+
	rigid	– <sup>5</sup>	+	+	+	+	+	+	+	+
5-chain	rigid	+ <sup>15</sup>	+	+	+	+	+	+	+	+

Table 1: Our results on interlocking pairs of open chains. (+) = can, (–) = cannot interlock. In superscript is the number of the theorem proving the result, the other entries are implied.

- Two flexible 3-chains with any finite number of flexible 2-chains cannot interlock, but three flexible 3-chains can interlock.
- A flexible 4-chain with any finite number of flexible 2-chains cannot interlock, but a flexible 3-chain and 4-chain can interlock.

We prove results on separability of chains in Section 2, and on interlocked chains in Section 3. Our proofs assume general position, namely that no nonincident bars are coplanar and no three joints collinear. Since we can enforce general position by a small perturbation, this assumption can be made without loss of generality. We list some remaining open problems in Section 4.

Motions of single chains and of closed chains have been considered in previous work. A *straightening* of a flexible chain is a motion that makes all joint angles become  $180^\circ$ . If a single chain cannot be straightened, we say that it is *locked*. It is known that a single, open chain in 3-space, having as few as 5 bars, can be locked [CJ98, BDD<sup>+</sup>99]. In a companion paper [DLOS01], we showed examples with open and closed chains that were interlocked, including an open 3-chain with a quadrilateral and an open 4-chain with a triangle. In these previous works it was possible to (conceptually) close an open chain by adding a piece of rope, then argue that geometric properties kept the rope from interfering with any motion and that topological invariants demonstrated that the resulting closed links were interlocked. However, this approach does not extend: we cannot simply close two or more open chains with ropes because the ropes may interfere with one another. Instead we establish geometric invariants, typically about the convex hull of joints and the relations of the end bars, often established by considering convenient projections of the linkage. We emphasize the different proof techniques used within each section.

One of the inspirations for our work was a question posed by Anna Lubiw [DO00]: into how many pieces must a chain be cut so that the pieces can be separated and straightened? This question is motivated by proteins, which may, according to some theories, temporarily split apart in order to reach the minimum-energy folding. Our results on open flexible chains, along with the locked 5-chain of [CJ98, BDD<sup>+</sup>99], imply that a set of chains can always be separated and every

chain straightened if the total number of middle bars is less than three. If the end bars are long enough, there are interlocked configurations whenever the number of middle bars is at least three. Soss [Sos01] investigated revolute chains, also motivated by proteins, and created a “staple and hook” example of an interlocked revolute 3-chain and 4-chain. We have an interlocked example with two revolute 3-chains.

We can observe easy upper and lower bounds for Lubiw’s problem: some  $n$ -chains require cutting at least  $\lfloor (n - 1)/4 \rfloor$  vertices for separation, and no chain requires cutting of more than  $\lfloor (n - 1)/2 \rfloor$  vertices. The lower bound is obtained by concatenating many copies of the 5-bar “knitting needles” example from [CJ98, BDD<sup>+</sup>99], each sharing one bar with the next as in Fig. 1. Observe that each copy of the locked 5-chain must have one of its four interior vertices cut. The

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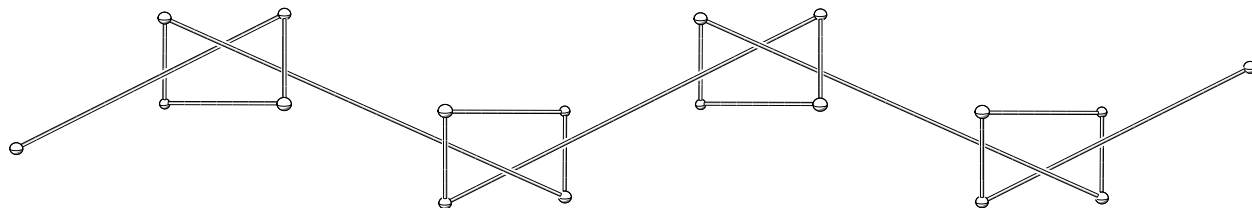


Figure 1: An  $n = 17$  bar chain that requires cutting at least  $\lfloor (n - 1)/4 \rfloor = 4$  vertices to separate.

upper bound is obtained by cutting every second joint, and observing that the resulting 2-chains can be separated by blowing up from a point, because the pieces are starshaped sets. We use variations of this argument, which dates back at least to de Bruijn in 1954 [dB54] and has been used in other work [Daw84, SS94, Tou85], as one technique to prove non-interlock.

It remains open whether cutting one third of the vertices suffices to disentangle an arbitrary chain, which would imply an upper bound of  $\lceil (n - 1)/3 \rceil$  on Lubiw’s problem. We show in Section 2.1 that cutting the chain into two 3-chains and the rest 2-chains suffices for separation, proving an upper bound of  $\lceil (n - 3)/2 \rceil$  on Lubiw’s problem, which is an improvement by 1 over the aforementioned bound. Actually our results on open flexible chains, along with the locked 5-chain of [CJ98, BDD<sup>+</sup>99] imply the more general result: a set of chains where the end bars are large enough can always be separated and every chain straightened if the total number of middle bars is less than 3. On the other side, if the set of chains contains at least 3 middle bars, then there is at least one chain that cannot be separated/straightened.

The complexity of deciding whether a given chain can be unlocked is not known. One decision procedure applies the roadmap algorithm for general motion planning [Can87, Can88], which runs in polynomial space but exponential time. Because all of our results are for a few chains each of a few joints, the roadmap algorithm could in principle establish interlock for our examples, but couldn’t discover them and probably wouldn’t give insight into their structure. On the other hand, the separability proofs apply to general classes of sets of chains, rather than the specific instances handled by the algorithm.

## 2 Separable Chains

In this section, we prove that certain configurations are separable by extending de Bruijn's idea of scaling or other arguments to find a separating motion. Except for a couple of cases involving a flexible 2-chain, the theorems in this section are tight in the sense that, for the chains considered, any additional bars or further restrictions on the motion can allow an interlocked configuration.

### 2.1 Two flexible 3-chains + many 2-chains cannot interlock

Using de Bruijn's idea of exploding configurations of convex sets [dB54], we show that two 3-chains (even with added 2-chains) are insufficient to make an interlocked configuration.

**Theorem 1** *Two open, flexible 3-chains and any finite number of flexible 2-chains can always be separated.*

**Proof:** Consider the 3-chains  $C_1$  and  $C_2$ , and especially their middle bars,  $k_1$  and  $k_2$ . Because the configuration has no crossing bars, we may assume that non-adjacent bars are not coplanar; a small perturbation will enforce this condition. Let  $K$  be a plane parallel to the middle links  $k_1$  and  $k_2$ , and choose the coordinate system such that the  $k$  is the  $yz$  plane. If necessary, apply another small perturbation to ensure that no two vertices have the same  $x$  coordinates except the vertices of  $k_1$  and of  $k_2$ .

Now, consider the affine transformation  $x \rightarrow \alpha x$  for any real  $\alpha \geq 1$ . Note that this is a non-uniform scaling that increases all distances between pairs of points with different  $x$ -coordinates. Thus, it preserves the lengths of  $k_1$  and  $k_2$ , and increases the length of all the other edges.

Create a motion parameterized by time  $t \geq 1$  by placing the chains according to the transform for  $\alpha = t$ , and truncating the edges at both ends of each chain to preserve the lengths. Because affine transformations preserve incidence relationships among lines, the motion cannot cause any bars to touch. As  $t$  becomes large, the chains can be separated any arbitrary distance, so they are not interlocked.  $\square$

We can prove a similar theorem for an open 4-chain and 2-chains.

**Theorem 2** *An open, flexible 4-chain and any finite number of flexible 2-chains can always be separated.*

**Proof:** As in the proof of Theorem 1, assume that non-adjacent bars are not coplanar, rotate the configuration so that the three joints of the 4-chain are parallel to the  $yz$  plane, and apply the affine transformation  $x \rightarrow \alpha x$  for any real  $\alpha \geq 1$  to increase the distance between all vertices except the joints of the 4-chain. Each end bar can be truncated to obtain a separating motion.  $\square$

This corollary improves the bound on an open problem posed by Lubiw, and first addressed in [DLOS01].

**Corollary 3** *Given a  $n$ -chain, it is always possible to cut  $\lceil (n-3)/2 \rceil$  vertices so that the pieces obtained can be separated and straightened.*

**Proof:** Cut the 4th and 7th joints, and then every other joint of the remaining chain.  $\square$

### 2.2 2-rigid + 3-revolute cannot interlock

The next three subsections establish theorems on restricted motions with 2-chains.

**Theorem 4** *A rigid 2-chain and a revolute 3-chain cannot interlock.*

**Proof:** Consider the rigid 2-chain  $P = (p_0, p_1, p_2)$  and the revolute 3-chain  $R = (r_0, r_1, r_2, r_3)$ . Apply a small perturbation to ensure that no two non-adjacent edges are coplanar, and let  $H$  be the plane containing  $P$ . Then  $R$  intersects  $H$  in at most three points: let  $r'_i$  be the intersection between  $r_i r_{i+1}$  and  $H$ , if it exists.

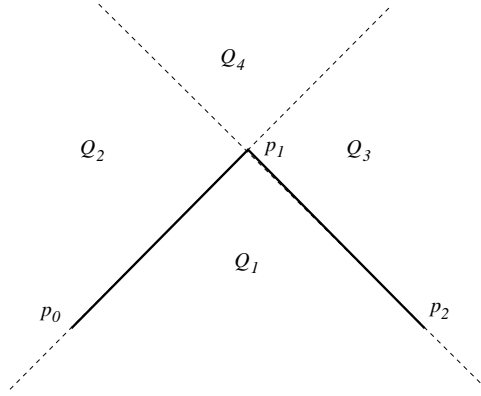


Figure 2: 2-chain  $P$  in its plane  $H$

The two lines containing  $p_0 p_1$  and  $p_1 p_2$  divide  $H$  into 4 quadrants  $Q_1, \dots, Q_4$  as shown in Fig. 2. If any of the quadrants  $Q_1, Q_2$  or  $Q_3$  does not contain an intersection point  $r'_i$ , then  $P$  can be separated by a translation in  $H$ : if  $Q_1$  is empty, we translate  $P$  in the direction  $p_1 p_2$ , if  $Q_2$  is empty, we translate  $P$  in the direction  $p_2 p_1$ , and if  $Q_3$  is empty, we translate  $P$  in the direction  $p_0 p_1$ . Otherwise, assume  $r'_{i_1} \in Q_1, r'_{i_2} \in Q_2$  and  $r'_{i_3} \in Q_3$ . Translate  $P$  in  $H$  so that joint  $p_1$  is within a distance  $\varepsilon$  of  $r'_{i_1}$ ; this can be done without intersections. If the segment  $r'_{i_2} r'_{i_3}$  does not intersect  $P$ , then we can rotate  $P$  counterclockwise about  $r'_{i_1}$  until  $Q_2$  becomes empty and translate  $P$  in the direction  $p_2 p_1$ .

There remains the case in which segment  $r'_{i_2} r'_{i_3}$  intersects  $P$ . We analyze two subcases: either  $i_1 = 1$  and  $r'_1$  is in  $Q_1$ , or  $i_1 \neq 1$ .

If  $r'_1 \in Q_1$ , suppose that the middle bar of  $C_3$  is fixed. Then the end bars  $r_0 r_1$  can move in a cone with apex  $r_1$  and axis  $r_1 r_2$  passing through  $r'_1$ . If  $\varepsilon$  was chosen small enough, this cone intersects  $H$  in a curve (a conic section) that connects point  $r'_0$  to some point in quadrant  $Q_4$  without intersecting  $Q_1$ . Bar  $r_0 r_1$  can rotate until it reaches the ray from  $r'_1$  through  $r'_{i_3} \in Q_3$  without intersecting bar  $r_2 r_3$ , so we can rotate  $r'_0$  into  $Q_4$ , then can separate  $P$  by a translation in  $H$ .

For the last case, we assume without loss of generality that  $r'_1 \in Q_2, r'_0 \in Q_1$  and  $r'_2 \in Q_3$ . Then, for any  $\delta > 0$ , we can choose  $\varepsilon$  small enough so that  $P$  can be translated to be at distance at most  $\delta$  from  $r_1$  without crossings. Because the vertex angles at  $r_1$  and  $r_2$  are fixed, we can choose  $\delta$  small enough in order to rotate  $r_1 r_2$  without crossings to bring it arbitrarily close to  $r_0 r_1$ . then, for some small values of  $\delta$  and then  $\varepsilon$ , the cone describing the motions of  $p_1 p_2$  when  $p_0 p_1$  is fixed does not intersect  $r_1 r_2$ , and we can move  $p_1 p_2$  until we fall into one of the previous cases.  $\square$

### 2.3 2-flexible + 3- or 4-rigid cannot interlock

When the 2-chain is flexible, the extra degree of freedom allows it to escape in its plane from any chain that intersects the plane in at most four points.

**Theorem 5** *A flexible 2-chain and a rigid 3-chain, 4-chain, or closed 5-chain cannot interlock.*

**Proof:** As in the previous theorem, let the 2-chain  $P = (p_0, p_1, p_2)$  define a plane  $H$  and four quadrants,  $Q_1, \dots, Q_4$ . Consider the at most four points where the other chain  $R$  intersects  $H$ . If one of the quadrants  $Q_j$ , for  $j \in \{1, 2, 3\}$ , does not contain at least one intersection point, then we can separate  $P$  from  $R$  by translation in  $H$ .

We could move point  $p_1$  along  $\overrightarrow{p_2 p_1}$ , allowing  $p_0 p_1$  to rotate if it reaches any point in  $Q_2$ , unless and until  $p_1$  approaches a ray  $\rho_{12} = \overrightarrow{r_1 r_2}$ , with  $r_1 \in R \cap Q_1$  and  $r_2 \in R \cap Q_2$ . We could then move  $p_1$  along ray  $\rho_{12}$ , until  $p_1$  approaches a ray  $\rho_{13} = \overrightarrow{r'_1 r_3}$ , with  $r'_1 \in R \cap Q_1$  and  $r_3 \in R \cap Q_3$ . If these motions do not separate the chains, then we have found two rays that cross in  $Q_4$ . This implies that  $r_1 \neq r'_1$  and we know the quadrants of all four points of  $R \cap H$ . We can now straighten the 2-chain  $P$  by a motion in  $H$  that preserves the ray/chain intersection points  $r_{12} \cap P$  and  $r_{13} \cap P$ . Then we can separate  $P$  from  $R$  by translation.  $\square$

### 2.4 3-flexible + 3-revolute cannot interlock

**Theorem 6** *A flexible 3-chain and a revolute 3-chain cannot interlock.*

**Proof:** Let  $P = (p_0, \dots, p_3)$  denote the flexible 3-chain and  $R = (r_0, \dots, r_3)$  denote the revolute 3-chain. Consider the projection of the two chains from the viewpoint  $p_1$  onto a sphere. All three bars of  $R$  and  $p_2 p_3$  project to segments of great arcs of angle  $< \pi$ , and  $p_0 p_1$  and  $p_1 p_2$  project to points. Thus  $p_0 p_1$  can be moved arbitrarily close to  $r_1 r_2$  unless its projection is enclosed in a triangle formed by  $r_0 r_1$ ,  $r_2 r_3$  and  $p_2 p_3$ . But then  $p_2 p_3$  can be moved arbitrarily close to  $r_1 r_2$ . Once one of the end bars of  $P$  is moved close to  $r_1 r_2$ , the second end bar can be moved close to  $r_1 r_2$  as well, and they can then both be moved close to the midpoint of  $r_1 r_2$ .

So we have reached a configuration where both  $p_0 p_1$  and  $p_2 p_3$  are at a distance at most  $\varepsilon$  from the midpoint  $r'_1$  of  $r_1 r_2$  for some well chosen value  $\varepsilon > 0$ . Let  $H$  be the plane containing  $p_1$ ,  $p_2$  and  $r'_1$ , and project  $P$  onto  $H$  in the direction  $r_1 r_2$ . For any given  $\delta > 0$ , we can choose the value of  $\varepsilon$  so that for any bar  $ab$  intersecting  $H$  at a distance  $> \delta$  from any point of the projection of  $P$ , that segment does not touch any bar of  $P$ .

Let  $r'_i$  be the intersection of  $r_i r_{i+1}$  with  $H$ . If we fix the position of  $r_1 r_2$ , the possible positions of  $r_0 r_1$  and  $r_2 r_3$  intersect  $H$  in two curves (conic sections). Both these curves are cut into pieces by the projection of  $P$ . Those pieces will be called *components* for  $r'_0$  or  $r'_2$ .

We will describe several motions of the chain  $P$  where the support lines of  $p_0 p_1$  and  $p_2 p_3$  will remain within a distance  $\varepsilon$  of  $r'_1$  and  $p_1 p_2$  will remain in  $H$  and will be translated in some specified direction. We will call any such motion *feasible* if there exists a simultaneous motion of  $R$ , with  $r_1 r_2$  fixed, such that no two bars of  $P$  and  $R$  ever touch. If  $r'_0$  and  $r'_2$  are each contained in components that never disappear during the entire motion, then the motion is feasible. Conversely, the only way for a motion not to be feasible is when either  $r'_0$  or  $r'_2$  is contained in a component that disappears. Since the curves are convex, and  $r'_1$  is inside their convex hull, the disappearance of a component must involve  $p_1 p_2$ .

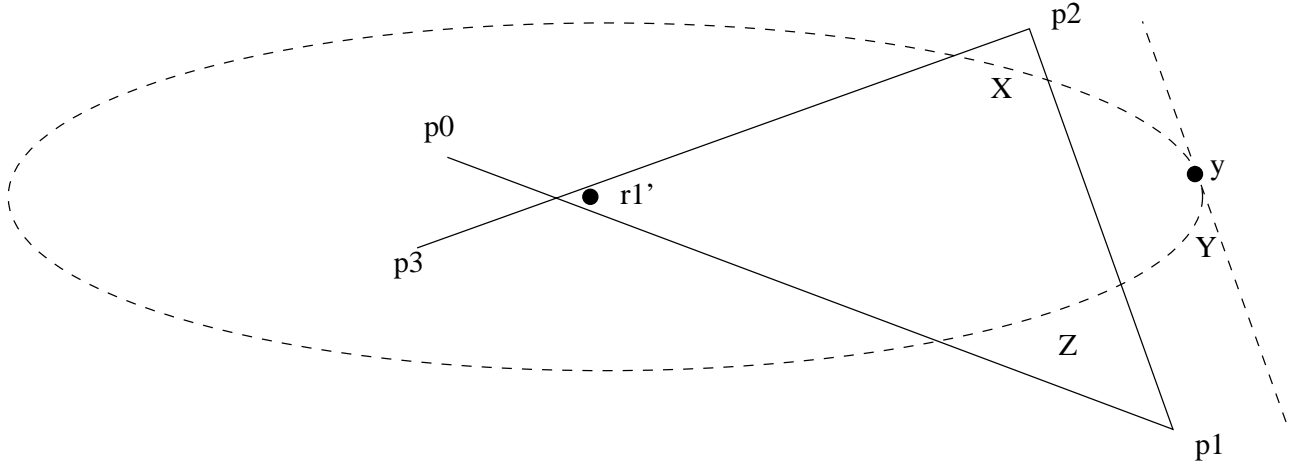


Figure 3: Possible positions for  $r'_0$  on components of the dotted ellipse in  $H$ .

Fig. 3 denotes by  $X$ ,  $Y$  and  $Z$  the three kinds of components that could disappear. Since we have only two points to place in those components, at least one of  $X$ ,  $Y$  or  $Z$  contains neither  $r'_0$  nor  $r'_2$ , and perhaps does not exist. If  $X$  is empty or non-existent, then we can translate  $p_1p_2$  in the direction  $p_2p_1$ . This translation does not reduce the size of  $Z$  until  $p_1p_2$  stops bounding  $Z$ , and  $Y$  remains unchanged by the motion, and so the motion is feasible. If  $Z$  is empty or non-existent, then translating  $p_1p_2$  in the direction  $p_1p_2$  produces a feasible motion for the same reasons. If  $Y$  is non-existent for at least one of the two curves, then  $X$  and  $Z$  are the same component for that curve and we fall into the previous case. Finally, if  $Y$  exists and is empty for both curves, and there is a non-empty  $X$  component and a non-empty  $Z$  component. Assume that  $X$  contains  $r'_0$  and  $Z$  contains  $r'_2$ . Then one of the two curves must be an ellipse; assume that it is the curve containing  $r'_0$ . We can translate  $p_1p_2$  with  $r'_0$  along its component, away from  $r'_1$ , until the  $Y$  component of  $r'_0$  disappears, connecting the  $X$  and  $Z$  components of  $r'_0$  and falling back into the previous case.  $\square$

### 3 Interlocked Chains

To show that two or more chains are interlocked we establish geometric invariants, often regarding the convex hull of selected vertices or joints. We begin with some useful preliminaries. We use a

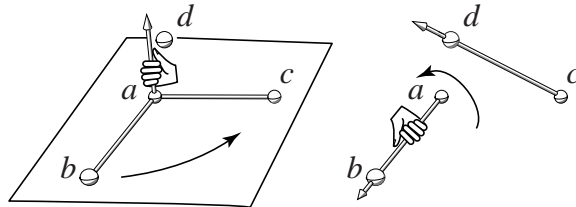


Figure 4: Equivalent views of the right-hand rule for determinant  $[abcd]$ .

bracket  $[abcd]$  to denote the  $4 \times 4$  orientation determinant of the homogeneous coordinates of four

points  $a$ ,  $b$ ,  $c$ , and  $d$ :

$$[abcd] = \det \begin{vmatrix} 1 & a.x & a.y & a.z \\ 1 & b.x & b.y & b.z \\ 1 & c.x & c.y & c.z \\ 1 & d.x & d.y & d.z \end{vmatrix}.$$

Note that transposing two letters negates the bracket  $[abcd]$ . It will be positive if the ray  $\vec{ab}$  is consistently oriented with ray  $\vec{cd}$  according to a right-hand rule.

Since we are concerned with invariants under motion, the points in a bracket will move over time. We can make statements about the invariance of faces of convex hulls like the following two lemmas. Unfortunately, these statements are cumbersome to say in words; see Figure 5 for an illustration.

**Lemma 7** *Under continuous motion of  $a$ ,  $b$ ,  $c$ , and  $d$ , determinant  $[abcd]$  is positive iff the convex hull  $CH(a, b, c, d)$  is a tetrahedron with edges to  $a$ ,  $b$ , and  $c$  appearing in counter-clockwise (ccw) order around  $d$ .*

**Proof:** This is a consequence of properties of the orientation determinant that can be observed in Figure 4. □

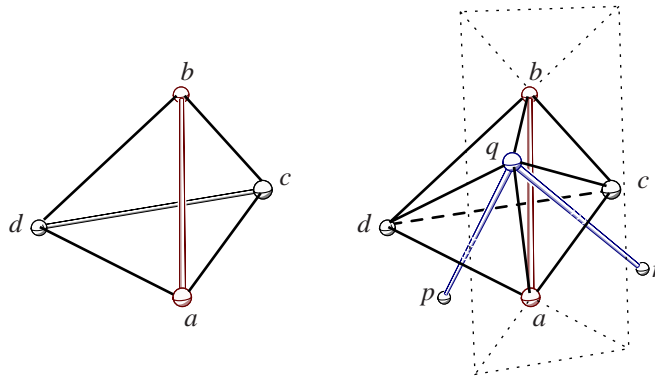


Figure 5: The configurations for Lemmas 7 and 8

**Lemma 8** *Suppose, as depicted at the right of Figure 5, that the convex hull  $CH(a, b, c, d, q)$  initially has six faces  $\triangle qac$ ,  $\triangle qcb$ ,  $\triangle qbd$ ,  $\triangle qda$ ,  $\triangle adc$ , and  $\triangle bcd$ , and that  $[abcd] > 0$ . As long as three conditions hold under motion of  $a$ ,  $b$ ,  $c$ , and  $d$ —specifically, all four points remain vertices on the convex hull, bar  $pq$  intersects the smaller convex hull  $CH(a, b, c, d)$  with  $[pqab] > 0$ , and bar  $qr$  intersects the hull  $CH(a, b, c, d)$  with  $[grab] > 0$ —the full convex hull  $CH(a, b, c, d, q)$  retains its face structure. In particular,  $ab$  pierces  $\triangle qcd$ .*

**Proof:** The fact that  $pq$  and  $qr$  intersect  $CH(a, b, c, d)$  on opposite sides of  $ab$  imply that  $CH(a, b, c, d)$  remains a tetrahedron. By Lemma 7, bracket  $[abcd] > 0$ , so this tetrahedron has faces  $\triangle adc$  and  $\triangle bcd$  that will be on the convex hull, as long as  $q$  does not hide them.

We claim that  $q$  remains in the intersection of halfspaces bounded by planes through  $acd$ ,  $bcd$ ,  $abd$ , and  $acb$ . These planes are indicated by dotted lines at the right of Figure 5. If point  $q$  would exit this intersection by first reaching planes through  $acd$  or  $bcd$ , then  $a$  or  $b$  would no longer be a vertex of the convex hull. If  $q$  first reached  $abd$  or  $acb$ , then  $pq$  or  $qr$  could no longer intersect



the tetrahedron  $abcd$  and maintain a positive orientation determinant with  $ab$ . (Note that reaching two or more planes simultaneously still violates the conditions.) Thus,  $q$  remains on the convex hull and keeps all its incident faces.  $\square$

### 3.1 Three flexible 3-chains can interlock

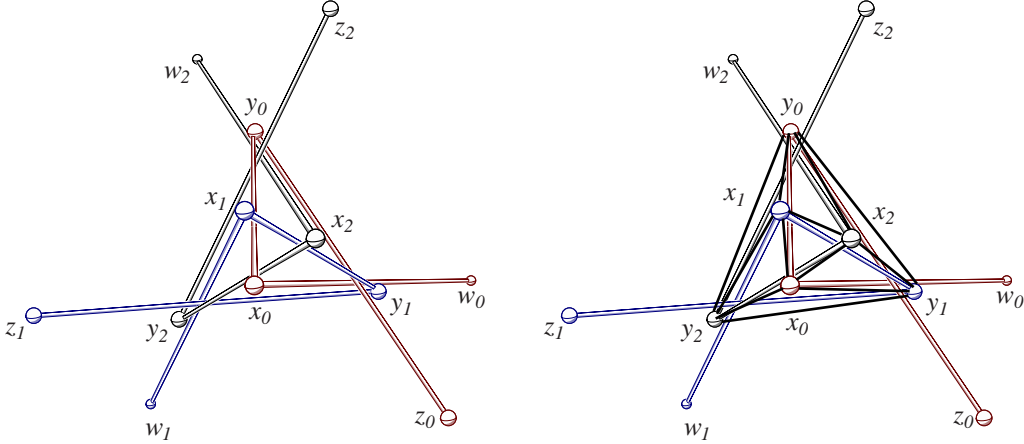


Figure 6: Three flexible 3-chains that interlock. At right, added lines show that the convex hull of the joints is an octahedron.

In this section we show that the three open 3-chains of Fig. 6 interlock. We say that chain  $i$ , for  $i \in \{0, 1, 2\}$  has vertices  $w_i, x_i, y_i$ , and  $z_i$ , as illustrated. We will use index arithmetic modulo 3.

To make this example, one could start with Borromean rings made of triangular chains with  $w_i = z_i$ , then extend the end bars of chain  $i$  above and below the surrounding chain  $(i + 1)$  until the end bars are at least three times longer than the middle bars. Let us assume that the middle bars have length unity.

**Theorem 9** *Three flexible 3-chains can interlock.*

**Proof:** We can make a number of initial geometric observations, which we will show are geometric invariants of this linkage. When we say a segment  $pq$  pierces a triangle  $\triangle abc$ , it is a shorthand for saying that five brackets are positive:  $[pabc]$ ,  $[abcq]$ ,  $[pqab]$ ,  $[pqbc]$ , and  $[pqca]$ —that is, points  $p$  and  $q$  are on opposite sides of the plane  $abc$  and  $\triangle abc$  is oriented consistent with a right-hand rule around  $pq$ . We have the following for all  $i \in \{0, 1, 2\}$ :

- (1) The convex hull of the joints  $\mathcal{Q} = CH(\{x_j, y_j \mid 0 \leq j \leq 2\})$  is an octahedron with edges to  $x_{i+1}, y_{i-1}, y_{i+1}$  and  $x_{i-1}$  appearing counter-clockwise (ccw) around  $x_i$  and clockwise (cw) around  $y_i$ .
- (2) Middle bar  $x_i y_i$  pierces  $\triangle x_{i-1} y_{i-1} x_{i+1}$ .
- (3) End bar  $x_i w_i$  pierces  $\triangle y_{i-1} x_{i-1} y_{i+1}$ , forms positive orientation determinants  $[x_i w_i x_{i+1} y_{i+1}]$  and  $[x_i w_i y_{i+1} z_{i+1}]$ , and exits the hull  $\mathcal{Q}$ .

- (4) End bar  $y_i z_i$  pierces  $\triangle x_{i-1} y_{i-1} y_{i+1}$  (the same triangle with the opposite orientation), forms positive determinants  $[y_i z_i z_{i+1} y_{i+1}]$  and  $[y_i z_i y_{i+1} x_{i+1}]$ , and exits the hull  $\mathcal{Q}$ .

As the points and vertices move, let us consider which of these conditions could fail first. We divide them into two classes: *hull conditions*, where a joint or end point goes inside the hull or a hull edge disappearing as two adjacent faces become coplanar, and *piercing conditions*, where a bar fails to pierce its triangle or one of its orientation determinants becomes zero.

We begin by showing that the first change cannot be a joint disappearing inside the convex hull. Consider vertex  $x_i$ . Segment  $x_i w_i$  pierces  $\triangle y_{i-1} x_{i-1} y_{i+1}$  and  $x_i y_i$  pierces  $\triangle x_{i-1} y_{i-1} x_{i+1}$ . Since both enter the tetrahedron formed by the middle bars  $x_{i-1} y_{i-1}$  and  $x_{i+1} y_{i+1}$ , we can apply Lemma 8 to the 2-chain  $w_i x_i y_i$  to see that joint  $x_i$  cannot be first joint to disappear inside the convex hull. Similarly, the two segments  $x_i y_i z_i$  intersect the convex hull of the two middle bars such that we can apply Lemma 8 and show that joint  $y_i$  cannot be the first inside.

If a convex hull edge disappears, then two adjacent triangles become coplanar. By the pigeonhole principle, two of the vertices of that quadrilateral are from the same chain, so a middle bar  $x_i y_i$  is on the convex hull. As long as  $x_i y_i$  pierces its triangle, this cannot happen. We show below that the triangle piercing is invariant.

First, however, we argue that end points  $w_i$  and  $z_i$  never enter the hull, by establishing that the hull diameter is less than three as long as 1) and 2) hold.

**Lemma 10** *If the diameter of the convex hull  $\mathcal{Q}$  is  $\geq 3$ , then either  $\mathcal{Q}$  contains a joint, or a middle bar is on the boundary of  $\mathcal{Q}$ .*

**Proof:** If the hull diameter is three or more, cut the diameter segment into three equal pieces with perpendicular planes. By the pigeonhole principle, one of the end pieces will contain (the interior of) a single middle bar  $x_i y_i$ . If both joints of this bar are on the convex hull, then the bar is on the hull because all other joints are separated by a perpendicular plane.  $\square$

Thus, the first failures must be piercing conditions, possibly accompanied by an edge (but not a vertex) disappearing from the hull. Without loss of generality, we consider that among the first piercing conditions to fail is one for a bar on chain 1. In preparation for finding a contradiction, we draw the projections of relevant bars from the perspectives of joints  $y_1$  and  $x_1$  in Figure 7, just before any piercing condition fails.

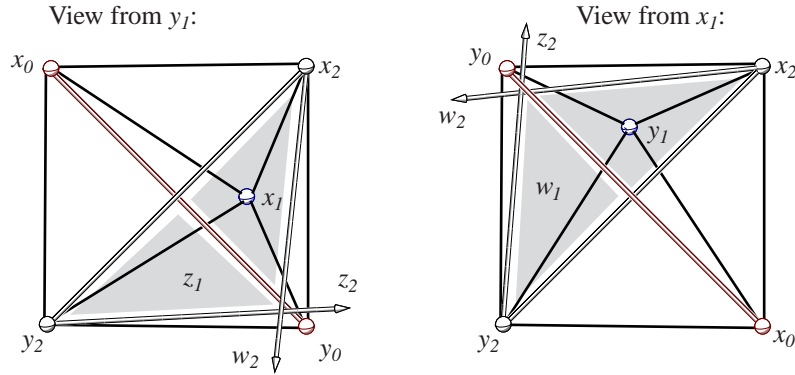


Figure 7: Views of selected bars and hull edges from  $y_1$  and from  $x_1$

Consider the projection of the octahedron from  $y_1$ . By (1), we see a convex quadrilateral  $y_2y_0x_2x_0$  oriented ccw. By (2), point  $x_1$  is initially inside  $\Delta x_0y_0x_2$ ; since  $x_2y_2$  pierces  $\Delta x_1y_1x_0$ , we also know that  $x_1$  is inside  $\Delta x_2y_2y_0$ . By (3), bar  $w_2x_2$  pierces  $\Delta x_1y_1y_0$ , so the projection of  $w_2x_2$  has  $x_1$  to the left and  $y_0$  to the right, which restricts the placement of  $x_1$  to the shaded region in the figure.

Now, suppose that the condition that  $x_1y_1$  pierces  $\Delta x_0y_0x_2$  is among the first to fail—that is, one or more of its five orientation determinants become zero. We show that each case contradicts a known property. (We do one case analysis in detail to develop character.) We know that  $[x_1x_0y_0x_2] > 0$  and  $[x_0y_0x_2y_1] > 0$ , since the triangle is strictly inside the convex hull and both vertices  $x_1$  and  $y_1$  are on the boundary of  $\mathcal{Q}$ . Thus, it follows from Lemma 7 that  $[x_1y_1x_0y_0]$  can become zero only if bars  $x_1y_1$  and  $x_0y_0$  are touching. Bracket  $[x_1y_1y_0x_2]$  can become zero only if the projection of  $x_2w_2$  has moved to be disjoint from the projection of  $x_1y_0$ , meaning that the piercing condition for  $x_2w_2$  has previously failed. Finally,  $[x_1y_1x_2x_0]$  can become zero only if the condition that  $x_2y_2$  pierces  $\Delta x_1y_1x_0$  has previously failed. This establishes that the piercing condition for  $x_1y_1$  cannot be among the first to fail.

We make a similar argument in the projection from  $y_1$  for the piercing conditions for  $y_1z_1$ . By (4), point  $z_1$  projects to the left of  $x_2y_2$  and  $y_0x_0$ . Because  $y_2z_2$  pierces  $\Delta x_1y_1y_0$ , the projection of  $y_2z_2$  has  $y_0$  to the right and  $x_1$  to the left; the orientation determinant also says that, in projection,  $z_1$  is to the left of  $y_2z_2$ . Thus,  $z_1$  is restricted to the shaded region. Since  $y_1z_1$  goes through the hull, Lemma 7 implies that the  $y_1z_1$  will touch the bars  $x_0y_0$ ,  $x_2y_2$ , or  $y_2z_2$  if their corresponding brackets go to zero. Thus,  $z_1$  can leave the shaded region only by touching a bar or by a previous failure of a piercing condition. Notice that the points in the shaded region satisfy all the conditions imposed upon  $y_1z_1$  in (4).

The argument for  $x_1w_1$  is similar and establishes that there can be no first failure of piercing conditions. This completes the proof of Theorem 9.  $\square$

### 3.2 A 3-chain and 4-chain can interlock

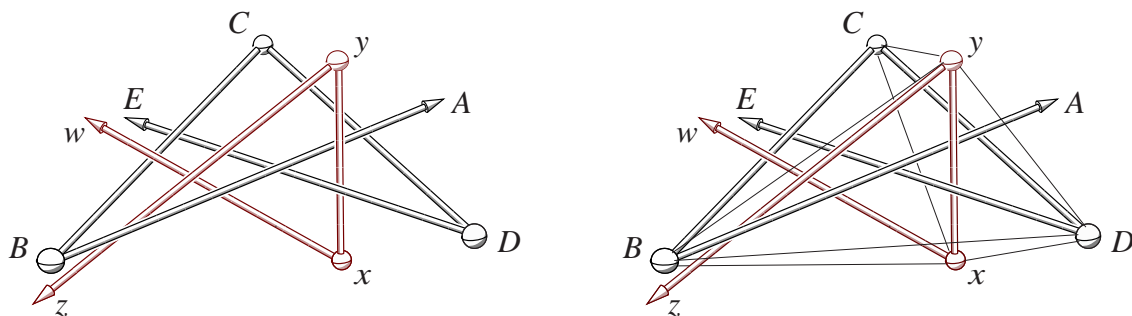


Figure 8: An example showing a locked 3-chain and 4-chain. At right, added lines show that the convex hull of joints is a bi-pyramid.

**Theorem 11** *Open flexible 3- and 4-chains can interlock.*

**Proof:** Figure 8 depicts the core of two linked chains,  $ABCDE$  and  $wxyz$ , where bars between joints have unit length and end bars have length greater than  $BC + CD + xy = 3$ . We analyze the convex hull of joints  $\mathcal{Q} = CH(B, C, D, x, y)$  as the points move.

In the initial embedding of Figure 8, we make several observations that we will show are invariants. Recall that a statement that, for example,  $xy$  pierces  $\triangle DCB$  is shorthand for saying that five orientation determinants are positive:  $[xDyCB]$ ,  $[DCBy]$ ,  $[xyDC]$ ,  $[xyCB]$ , and  $[xyBD]$ .

- (1): Bar  $xy$  pierces  $\triangle DCB$ . Equivalently, the hull  $\mathcal{Q}$  is a bi-pyramid, with edges to  $B$ ,  $C$ , and  $D$  in ccw order around  $x$  and cw order around  $y$ .
- (2): End bar  $DE$  pierces  $\triangle Byx$  and hull face  $\triangle BCx$  and makes positive orientation determinant  $[DExw]$ .
- (3): End bar  $BA$  pierces  $\triangle Cyx$  and hull face  $\triangle DCy$  and makes positive determinants  $[BAzy]$  and  $[BAxw]$ .
- (4): End bar  $xw$  pierces  $\triangle DCB$  and hull face  $\triangle CBy$  and makes positive determinant  $[xwzy]$ .
- (5): End bar  $yz$  pierces  $\triangle BCD$  and hull face  $\triangle BCx$ .

Any motion that separates these chains must change the convex hull  $\mathcal{Q}$  and invalidate observation (1), so some set of observations must be first to fail. We show by finding contradictions that none of these can be among the first, establishing that there is no separating motion. Unfortunately, this configuration has no symmetries to cut down on the number of cases.

To begin, we apply Lemma 8 to argue that the first event cannot include  $x$  or  $y$  vanishing inside the hull  $\mathcal{Q}$ . Consider  $x$  first. Since  $xw$  and  $xy$  pierce  $\triangle DCB$ , both bars intersect tetrahedron  $CH(B, C, D, E)$ . Since  $[DEyx]$  and  $[DExw]$  are positive, we can apply Lemma 8 to show that  $x$  cannot vanish into tetrahedron  $CH(B, C, D, E)$  without some other hull change occurring. But vanishing into  $CH(B, C, D, E)$  would be necessary before  $x$  could vanish into hull  $\mathcal{Q}$ . Similarly,  $yx$  and  $yz$  pierce  $\triangle BCD$  and straddle  $BA$ , so Lemma 8 implies that  $y$  cannot vanish into the tetrahedron  $CH(A, B, C, D)$  unless  $\mathcal{Q}$  has already changed.

Next, we show that (1) cannot be among the first conditions to fail; that  $xy$  must remain inside the hull. Since we know that  $x$  and  $y$  remain on opposite sides of the plane  $BCD$ , we can most easily to argue about orientation determinants in 3D by considering projections from the perspectives of one of the joints, as illustrated in Figure 9. Consider the view from  $x$ , where we

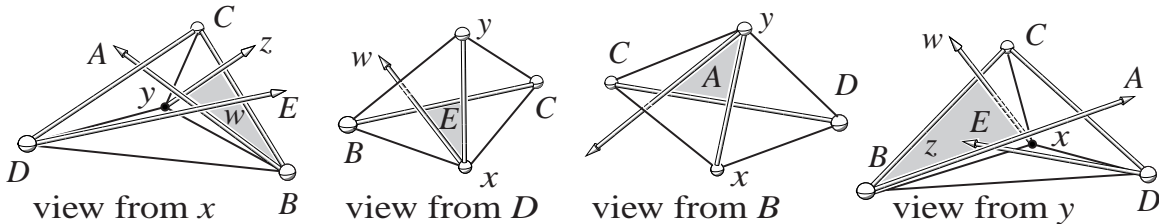


Figure 9: Projections of the linkage of Figure 8 from  $x$ ,  $D$ ,  $B$ , and  $y$ .

see  $y$  inside a ccw-oriented triangle  $\triangle BCD$ . By condition (2),  $DE$  pierces  $\triangle BCx$ , and  $[DEyx]$  is positive (from  $DE$  piercing  $\triangle Byx$ ); these further restrict  $y$  to lie in a triangle formed by the projections of bars  $BC$ ,  $CD$  and  $DE$ . By Lemma 7, the projection of  $y$  cannot reach the projections

of  $BC$  or  $CD$  without causing bars to intersect. Nor can it reach  $BD$  without causing bars  $xy$  and  $DE$  unless there has been a previous failure of  $DE$  to pierce  $\triangle BCx$ , violating condition (2). Thus, condition (1) cannot be among the first condition to fail.

As long as the hull keeps its structure, we can make an argument like that of Lemma 10 to show that the diameter of the hull is at most three, which implies that end vertices never enter the hull. For end bar piercing conditions, therefore, we can continue to consider projections from joints, without worrying that a joint or end vertex will disappear inside the hull.

To see that condition (2) cannot be among the first to fail, consider the view from  $D$ , where we see a convex quadrilateral  $xCyB$  whose diagonals are bars that restrict the point that is the projection of  $DE$ . By (4) and (1), bars  $xw$  and  $xy$  pierce  $\triangle DCB$ , so there is a triangle formed by projections of bars  $xw$ ,  $xy$ , and  $BC$  that contains the projection of  $E$ . For this point to leave the projection of  $\triangle Byx$  or  $\triangle BCx$  or change the sign of  $[DEwx]$ , bar  $DE$  would intersect bars  $xw$ ,  $xy$ , or  $BC$  inside  $\mathcal{Q}$ , or the condition of (4) that  $xw$  pierces  $\triangle DCB$  would have previously failed.

For condition (3), we have a similar case in the view from  $B$ . If the projection of  $A$  were to leave the projection of  $\triangle Cyx$  or  $\triangle DCy$ , bar  $BA$  would intersect bar  $xy$ ,  $yz$ , or  $CD$ , or there would have been a previous failure of condition (5), that  $yz$  pierces  $\triangle BCD$ .

For the piercing conditions of (4), it is sufficient to establish that  $xw$  always pierces  $\triangle CBy$ , because as long as it is satisfied and (1)  $xy$  pierces  $\triangle DCB$ , we automatically have  $xw$  piercing  $\triangle DCB$ . We must also establish that  $[xwzy] > 0$  as points move. Consider once again the view from  $x$ . Bar  $xw$  projects to a point in a region bounded by the projections of  $BC$ ,  $yz$ , and  $BA$ , as long as bar (4)  $xw$  pierces  $\triangle CBy$ , (5) bar  $yz$  pierces  $\triangle BCx$  and satisfies  $[xwzy] > 0$ , and (3) bar  $BA$  pierces  $\triangle Cyx$ . (We ignore the condition  $[DEwx] > 0$ , although it happens that in our figure this is actually more restrictive than  $[xwzy] > 0$ .) Since  $xw$  cannot intersect bars  $BC$ ,  $yz$  or  $BA$ , for the projection of  $w$  to leave  $\triangle CBy$  or cause  $[xwzy]$  to become negative, a piercing condition from (5) or (3) must have previously failed. Thus condition (4) cannot be among the first to fail.

For (5), consider the view from  $y$ . As long as  $xy$  pierces  $\triangle DCB$ , bar  $yz$  piercing  $\triangle BCx$  is the more restrictive condition. Bar  $yz$  projects to a point in a triangle bounded by projections of  $AB$ ,  $CB$ , and  $xw$ , since (3)  $AB$  pierces  $\triangle Cyx$  and (4)  $xw$  pierces  $\triangle CBy$ . (In this case, we cannot use the condition  $[DEwx] > 0$ , since the projection of  $E$  could lie inside  $\triangle CBx$ .) Since  $yz$  cannot intersect bars  $AB$ ,  $BC$ , or  $xw$ , the only way to leave  $\triangle BCx$  would be after a previous failure of piercing conditions from (3) or (4).

Since no event can occur among the first events, we know that any motion will preserve the triangles of the convex hull  $\mathcal{Q}$ , and that the chains remain interlocked.  $\square$

### 3.3 2-rigid + 3-rigid can interlock

The remaining subsections investigate interlocking configurations with restricted motion.

**Theorem 12** *A rigid 2-chain can interlock with a rigid 3-chain.*

**Proof:** The starting configuration is as shown in Fig. 10. For the two chains  $P = (p_0, p_1, p_2)$ , and  $Q = (q_0, q_1, q_2, q_3)$ , we assume that point  $q_1 = (0, 0, 0)$ , point  $q_2 = (1, 0, 0)$ , bar  $q_0q_1$  goes through the point  $q'_0 = (1, -1, -1)$ , bar  $q_2q_3$  goes through the point  $q'_3 = (0, 1, -1)$ , and all end bars have length  $L$ . The vertex angle at  $p_1$  is  $\pi/2 < \beta < \pi$ . Draw a central projection of the configuration onto the  $xy$  plane from viewpoint  $p_1$ , as in Figure 10.

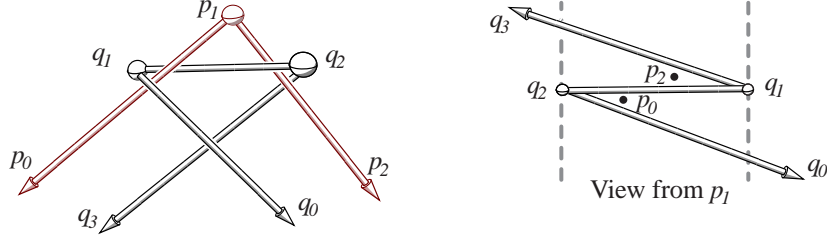


Figure 10: A rigid 2-chain and a rigid 3-chain can interlock.

In the starting configuration, both bars of  $P$  intersect  $\mathcal{T} = CH(q'_0, q_1, q_2, q'_3)$ , and during any separating motion, those bars will have to stop intersecting  $\mathcal{T}$ . The diameter of  $\mathcal{T}$  is less than 3, so if  $L > 3/\tan \beta$ , we know that if  $p_0$  or  $p_2$  enter  $\mathcal{T}$ , then one of the end bars of  $P$  will have already left  $\mathcal{T}$ . So during any separating motion, one of  $p_0$  or  $p_2$  will have to cross one of the dotted lines in the projection shown in Figure 10. Note that before the motion starts, the dot product of the planar vectors in the projection  $p_0p_2 \cdot q_1q_2 > 0$ , and as soon as one of  $p_0$  or  $p_2$  intersects one of the dotted lines in the projection,  $p_0p_2 \cdot q_1q_2 < 0$ . Since this is a continuous motion, we must have been a stage where  $p_0p_2 \cdot q_1q_2 = 0$ , that is, the plane containing 2-chain  $P$  is perpendicular to  $q_1q_2$ . Consider the intersections of  $Q$  with the plane containing  $P$  at that stage. The intersection with  $q_1q_2$  is at  $(y, z) = (0, 0)$ , the intersection with  $q_0q_1$  lies on the segment joining  $(0, 0)$  to  $(-1, -1)$ , and the intersection with  $q_2q_3$  lies on the segment joining  $(0, 0)$  to  $(1, -1)$ . Thus, the support line of  $p_0p_1$  would have to be below  $(-1, -1)$  and above  $(0, 0)$  and the support line of  $p_1p_2$  would have to be above  $(0, 0)$  and below  $(1, -1)$ . But this would imply that  $\beta < \pi$  which contradicts the fact that  $P$  is rigid.  $\square$

### 3.4 2-rigid + 4-flexible can interlock

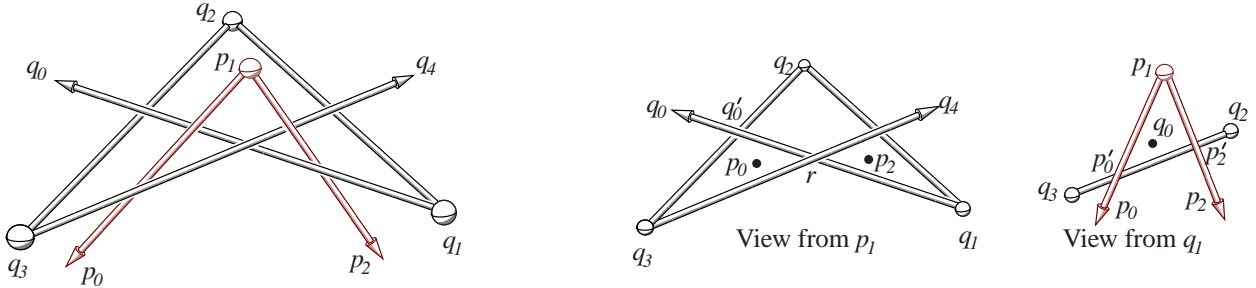


Figure 11: A rigid 2-chain  $P$  and a flexible 4-chain  $Q$ , with views from vertices  $p_1$  and  $q_1$ .

Consider the 2-chain  $P = (p_0, p_1, p_2)$  and 4-chain  $Q = (q_0, \dots, q_4)$  shown in Fig. 11. The lengths of the internal edges  $k_1 = q_1q_2$ , and  $k_2 = q_2q_3$  are unity, and the length of all end edges is set to some large value  $L$  to be determined later. Let  $\mathcal{T}$  be the tetrahedron with vertices  $\{p_1, q_1, q_2, q_3\}$ . We show:

**Lemma 13** *Starting from the configuration portrayed at the left of Fig. 11, consider any motion where none of the vertices  $p_0$  or  $p_3$  ever enter the tetrahedron  $\mathcal{T}$ . Then at all times, the edges  $p_0p_1$*

and  $p_1p_2$  both intersect triangle  $q_1q_2q_3$ .

**Proof:** Along with the conclusion stated in the lemma, we will show that a few other conditions remain true at all times during the motion:

$$\begin{aligned} [q_0q_1q_2q_3] &< 0, & [q_1q_2q_3q_4] &> 0 \\ [p_0p_1q_0q_1] &< 0, & [p_0p_1q_iq_{i+1}] &> 0 \text{ for } i = 1, 2, 3 \\ [p_1p_2q_3q_4] &> 0, & [p_1p_2q_iq_{i+1}] &> 0 \text{ for } i = 0, 1, 2 \end{aligned}$$

and the edges  $p_0p_1$  and  $p_1p_2$  intersect triangle  $\Delta q_1q_2q_3$ ,  $q_0q_1$  intersects  $\Delta p_1q_2q_3$  and  $q_3q_4$  intersects  $\Delta p_1q_1q_2$ . We prove this by showing that none of these conditions can be the first one to become false. Note that these conditions also imply that  $p_1$  remains above the plane containing  $\Delta q_1q_2q_3$ .

First consider all determinants involving  $p_0p_1$  or  $p_1p_2$ . For this, we project the configuration from the viewpoint  $p_1$  onto the  $\Delta q_1q_2q_3$  as in the middle of Fig. 11. Let  $q'_0$  be the intersection of the edge  $q_0q_1$  and the triangle  $\Delta p_1q_2q_3$ ,  $q'_0$  projects to the intersection point of the projections of the edges  $q_0q_1$  and  $q_2q_3$ . Likewise, let  $q'_4$  be the intersection of the edge  $q_3q_4$  and the triangle  $\Delta p_1q_1q_2$ ,  $q'_4$  projects to the intersection point of the projections of the edges  $q_3q_4$  and  $q_1q_2$ . Also, let  $r$  be the projection of the intersection between the projections of the edges  $q_0q_1$  and  $q_3q_4$ .  $r$  is the projection of points on those two edges that lie inside  $\mathcal{T}$ .

In the projection,  $p_0$  becomes a point lying inside the triangle  $\Delta rq'_0q_3$ . The three edges of this triangle are the projection of portions of edges completely contained in  $\mathcal{T}$ , and  $p_0$  is not contained in  $\mathcal{T}$ , so none of the determinants involving  $p_0p_1$  can change sign as the first violated condition without involving an edge crossing. The same argument can be made about edge  $p_1p_2$  and triangle  $\Delta rq'_4q_1$ . The same projection also shows that the edges  $p_0p_1$  and  $p_1p_2$  will not stop intersecting triangle  $\Delta q_1q_2q_3$  before some determinant involving one of these two edges changes sign.

For the events involving  $q_0q_1$ , we project the configuration from the viewpoint  $q_1$  onto the  $\Delta p_1q_2q_3$  as in the right of Fig. 11. Let  $p'_0$  be the intersection of  $p_0p_1$  and  $\Delta q_1q_2q_3$ ,  $p'_0$  projects to the intersection point of the projections of the edges  $p_0p_1$  and  $q_2q_3$ . Let  $p'_2$  be the intersection of  $p_1p_2$  and  $\Delta q_1q_2q_3$ ,  $p'_2$  projects to the intersection point of the projections of the edges  $p_1p_2$  and  $q_2q_3$ . In the projection,  $q_0$  becomes a point lying inside the triangle  $\Delta p'_0p_1p'_2$ . The three edges of this triangle are the projection of portions of edges completely contained in  $\mathcal{T}$ , and  $q_0$  is not contained in  $\mathcal{T}$ , so none of the determinants involving  $q_0q_1$  can change sign as the first violated condition without involving an edge crossing. The same projection also shows that  $q_0q_1$  will not stop intersecting triangle  $\Delta p_1q_2q_3$  before some determinant involving  $q_0q_1$  changes sign. The events involving  $q_3q_4$  can be treated in the same manner, and so none of the events can occur first.  $\square$

**Theorem 14** *Given any angle  $0 < \beta < \pi$ , there is an interlocked configuration of a 2-chain with a 4-chain, if the vertex angle of the 2-chain is restricted to stay  $\geq \beta$  during the entire motion.*

**Proof:** Consider the configuration shown at the left of Fig. 11. By the previous lemma, in order to unlock  $P$  and  $Q$ ,  $p_0$  or  $p_3$  have to enter  $\mathcal{T}$  through  $\Delta q_1q_2q_3$ . At the time one of these endpoints, say  $p_0$ , enters  $\Delta q_1q_2q_3$ ,  $p_1p_2$  still intersects  $\Delta q_1q_2q_3$ . But the closest point to  $p_0$  on  $p_1p_2$  is at distance  $L \tan \beta$ . So, since the diameter of the triangle  $\Delta q_1q_2q_3$  is less than 2, the configuration will be locked if  $L \tan \beta > 2$ , or  $L > 2/\tan \beta$ .  $\square$

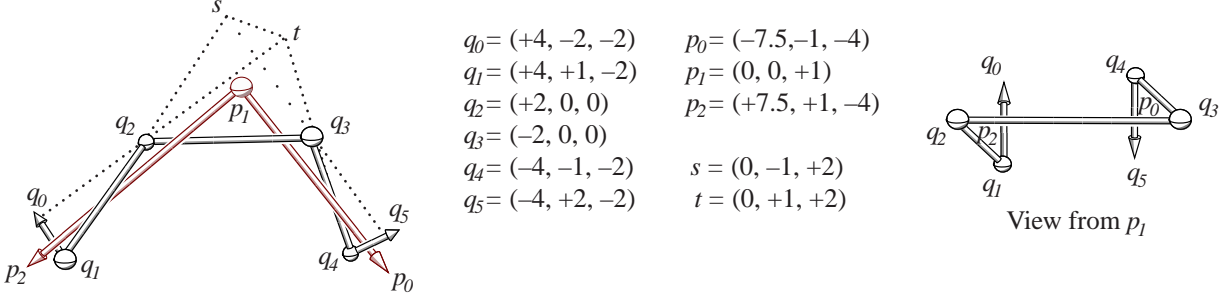


Figure 12: A flexible 2-chain and a rigid 5-chain can interlock.

### 3.5 2-flexible + 5-rigid can interlock

**Theorem 15** *A flexible 2-chain can interlock with a rigid 5-chain.*

**Proof:** We can build this configuration with the coordinates of Figure 12 and check that initially it has positive orientation determinants  $[p_1 p_2 q_i q_{i+1}]$ , for  $i \in \{0, 1, 2\}$ , and  $[p_0 p_1 q_i q_{i+1}]$ , for  $i \in \{2, 3, 4\}$ . The four planes  $q_i q_{i+1} q_{i+2}$  for  $i \in \{0, 1, 2, 3\}$  define a tetrahedron  $\tau$ , shown dotted in Figure 12, that contains  $p_1$ . We can calculate the coordinates  $s$  and  $t$ , as in the figure, so tetrahedron  $\tau = CH(q_2, q_3, s, t)$ .

In fact,  $p_1$  cannot leave  $\tau$  without causing bars of the two chains to intersect. Consider the view from  $p_1$ . Ends  $p_0$  and  $p_2$  project to points that are contained in triangles that are projections of bars of  $Q$ . These projected triangles are invariant as long as  $p_1$  is in  $\tau$ : Because the planes  $q_0 q_2 q_3$  and  $q_5 q_3 q_2$  completely contain  $\tau$ , the end bars of  $Q$  project onto  $q_2 q_3$  until two edges of a projected triangle becomes collinear, which occurs only if  $p_1$  reaches a face of  $\tau$ . But this would also force an intersection in the projection between an end bar of  $P$  and a bar of  $Q$ . Since the length of the end bars of  $P$  is  $> 9$ , and the greatest distance of  $\tau$  from a point of the projected triangle is  $|sq_1| = 6$ , the bars do intersect, as promised.  $\square$

### 3.6 3-rigid + 3-flexible can interlock

As shown in Section 2.1, two flexible 3-chains cannot interlock. To obtain a locked configuration for two 3-chains, we could restrict the motion of the chains in several ways. To make these ways precise, consider a 3-chain with vertices  $p_0, p_1, p_2$ , and  $p_3$ , and define

- the *vertex angle* at  $p_i$ , for  $i = 1, 2$ , which is the angle  $\angle p_{i-1} p_i p_{i+1}$ , and
- the *dihedral angle* of the 3-chain, which is the angle between the orthogonal projections of  $p_0 p_1$  and  $p_2 p_3$  onto a plane perpendicular to  $p_1 p_2$ .

In a flexible chain, these angles are completely unrestricted. For a revolute chain, the vertex angles cannot change during the motion. We will prove that two 3-chains can be locked if:

- The sum of the two vertex angles for each chain is bounded from above by some angle  $\alpha < \pi$ , or
- The three angles of one of the chains are bounded from below by some angle  $\beta > 0$ , the other chain being completely flexible.



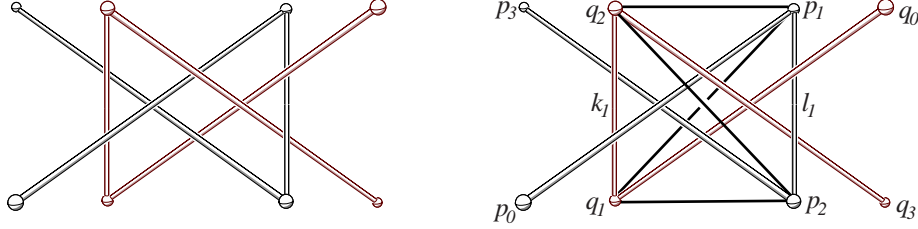


Figure 13: Two 3-chains that interlock if the joints are restricted

Consider the 3-chains  $P = (p_0, \dots, p_3)$  and  $Q = (q_0, \dots, q_3)$  shown in Fig. 13. The lengths of middle edges  $\ell = p_1p_2$  and  $k = q_1q_2$  are unity, and the length of all end edges is set to some large value  $L$  to be determined later. Let  $\mathcal{T}$  be the tetrahedron with vertices  $\{p_1, p_2, q_1, q_2\}$ . We first show:

**Lemma 16** *Starting from the configuration of Fig. 13, consider any motion where none of the vertices  $p_0, p_3, q_0$  or  $q_3$  ever enter the tetrahedron  $\mathcal{T}$ , then at all times,*

$$[p_i p_{i+1} q_j q_{j+1}] \begin{cases} < 0 \text{ for } i = j = 1 \\ > 0 \text{ otherwise,} \end{cases} \quad (1)$$

and the end edge starting at each vertex of  $\mathcal{T}$  intersects the opposite facet of the tetrahedron.

**Proof:** It can be verified that expression(1) is true at the starting configuration. Consider the first occurrence of an event that might cause (1) to become false. To consider  $[p_0 p_1 q_j q_{j+1}]$  for  $j = 0, 1, 2$ , we project the inside of  $\mathcal{T}$  from vertex  $p_1$ . This is illustrated in figure XXX. Point  $p_1$  sees the triangle  $q_1 q_2 p_2$  containing  $p_0$ , the segment  $q_0 q_1$  passing through triangle  $p_1 p_2 q_2$  and thus intersecting  $p_2 q_2$  in the projection, and the segment  $q_2 q_3$  passing through triangle  $p_1 p_2 q_1$  and so intersecting  $p_2 q_1$  in the projection. Since  $p_0$  is actually the projection of  $p_0 p_1$ , the possible projections of  $p_0 p_1$  are bounded by the segments  $q_0 q_1$ ,  $q_1 q_2$  and  $q_2 q_3$ . All the other cases are symmetric to this one except  $[p_1 p_2 q_1 q_2]$ . But this corresponds to the segments  $\ell$  and  $k$  becoming coplanar and  $\mathcal{T}$  becoming empty. But this cannot happen before one of the other events.  $\square$

**Theorem 17** *Given any angle  $0 < \beta < \pi$ , there is an interlocked configuration of two 3-chains where the dihedral angle and both vertex angles of the first chain are  $\geq \beta$  during any motion and the other chain is unrestricted.*

**Proof:** By Lemma 16, the dihedral angle of  $P$  is at most the angle  $\theta$  between triangles  $p_1 p_2 q_1$  and  $p_1 p_2 q_2$  (and thus  $\theta \geq \beta$ ) as long as  $p_0, p_3, q_0$  and  $q_3$  stay out of  $\mathcal{T}$ . The restriction on the vertex angles of  $P$  also imply that one of the angles  $p_1 p_2 q_1$  and  $p_1 p_2 q_2$  is at least  $\beta$ , and the same for the angles  $p_2 p_1 q_1$  and  $p_2 p_1 q_2$ . Since  $\ell$  and  $k$  are both of length 1, then if the longest distance between any two points in  $\mathcal{T}$  is  $D$ , then  $p_1 q_1, p_1 q_2, p_2 q_1$  and  $p_2 q_2$  are all of length  $\geq D - 2$ . Along with the restrictions on the angles of  $P$ , this implies that  $(D - 2)(\sin \beta)^2 \leq 1$  as long as  $p_0, p_3, q_0$  and  $q_3$  stay out of  $\mathcal{T}$ . Thus if we set the length  $L$  of the end edges larger than  $2 + 1/(\sin \beta)^2$ ,  $p_0, p_3, q_0$  and  $q_3$  will never enter  $\mathcal{T}$ , and the configuration is locked.  $\square$

**Corollary 18** *A rigid 3-chain and a flexible 3-chain can interlock.*

### 3.7 3-revolute + 3-revolute can interlock

In this subsection we consider 3-chains of Figure 13 as revolute chains, and consider the two cones obtained by rotating one of the end edges around the middle edge. We will need a new lemma:

**Lemma 19** *In any motion starting from the configuration of Fig. 13, the four cones defined by the chains  $P$  and  $Q$  have a non-empty intersection as long as none of the vertices  $p_0, p_3, q_0,$  or  $q_3$  enter the tetrahedron  $\mathcal{T}$ .*

**Proof:** Using Lemma 16, we claim that the end edges of one of the chains has to intersect both cones of the other chain. To see this, observe that if, say, bar  $p_0p_1$  does not intersect the cone at  $q_1$ , then  $[p_0p_1q_0q_1]$  and  $[p_0p_1q_1q_2]$  have opposite signs (because  $q_0q_1$  is inside the cone), which contradicts lemma 16. Pick a point  $\hat{q}$  at the intersection of the boundary of the two cones of  $Q$ , such that  $\overrightarrow{\hat{q}q_1}$  and  $\overrightarrow{q_2\hat{q}}$  have a positive orientation with  $p_0p_1$  and  $p_2p_3$ . This implies that bars  $p_0p_1$  and  $p_1p_2$  both intersect the triangle  $q_1q_2\hat{q}$ . Construct  $\hat{p}$  the same way, and notice that the triangles  $p_1p_2\hat{p}$  and  $q_1q_2\hat{q}$  intersect. Since the triangles are subsets of the cone intersections of their chains, this completes the proof.  $\square$

**Theorem 20** *Given any angle  $0 < \alpha < \pi$ , there is an interlocked configuration of two 3-chains where the sum of the two vertex angles of each chain stays  $\leq \alpha$  during any motion (and the dihedral angles are unrestricted).*

**Proof:** Let  $R_i$ , for  $i = 1, 2$  be the union, over all possible pairs of vertex angles with sum  $\leq \alpha$ , of the intersections of the two cones of  $C_i$ . Note that  $R_i$  is contained in a sphere of radius  $\tan(\pi/2)/2$  centered at the midpoint of its middle bar. By Lemma 19, we know that  $R_1$  and  $R_2$  intersect, and so do the spheres that contain them, as long as the conditions of lemma 16 are satisfied. So if we set the length  $L$  of the end edges larger than  $\tan(\pi/2) + 1$ , then vertices  $p_0, p_3, q_0$  and  $q_3$  will never enter  $\mathcal{T}$ , and the configuration is interlocked.  $\square$

**Corollary 21** *Two revolute 3-chains can interlock.*

## 4 Conclusion

We have settled the majority of the problems for small interlocked chains. Two problems that would complete Table 1 remain open, as well as other questions that we find interesting:

1. What is the smallest  $k$  for which a flexible  $k$ -chain can interlock with a flexible 2-chain? We believe that  $6 \leq k \leq 11$ .
2. What is the smallest  $k$  for which a revolute  $k$ -chain can interlock with a flexible 2-chain?
3. Can cutting one third of the vertices of a flexible chain lead to an interlocked collection of subchains? Our results do not immediately lead to an answer to this question.
4. Explore possible interlock for sets of three or more chains with restricted motions. For example, we conjecture that a revolute 3-chain and 2 rigid 2-chains can interlock
5. What is the complexity of deciding whether given chains are interlocked?

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